



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of **Zhi-Cheng Xiao**

Application No. 10/537,648 ✓

Attorney Docket No. 0380-P03063US1

Filed: June 6, 2005

For: PEPTIDES, ANTIBODIES THERETO, AND THEIR USE IN  
THE TREATMENT OF CENTRAL NERVOUS SYSTEM  
DAMAGE

Examiner: Not Yet Assigned

Group Art Unit: 1653

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this Correspondence is being deposited on the date listed below with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER FOR PATENTS, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

June 1, 2006  
Date of Certificate

Janice M. Nightlinger  
Janice M. Nightlinger

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicant is submitting herewith Forms PTO/SB/08A and PTO/SB/08B and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date, but before receipt of the first Official Action on the merits. Thus, it is believed by the undersigned attorney that no fee is required

under 37 C.F.R. §1.97(b).

In the event that a fee is required, the Commissioner is authorized to charge Deposit Account No. 04-1406 of the undersigned attorneys. A duplicate copy of this sheet is enclosed.

In the opinion of the undersigned, the references submitted herewith are the most pertinent of which the undersigned is aware. However, no representation is made or intended that more pertinent references do not exist.


This submission is not an admission that the references listed on the attached Forms PTO/SB/08A and PTO/SB/08B constitute prior art against the claims of this application.

The Examiner is respectfully requested to confirm receipt and consideration of the cited references by initialing and returning a copy of the attached Forms PTO/SB/08A and PTO/SB/08B in accordance with MPEP §609.

Early and favorable consideration of this application is respectfully requested.

Respectfully submitted,

DANN, DORFMAN, HERRELL & SKILLMAN  
A Professional Corporation  
Attorneys for Applicant(s)

By   
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Enclosures - Forms PTO/SB/08A and PTO/SB/08B  
Copies of references listed

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	2
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**Complete if Known**

Application Number	10/537,648
Filing Date	June 6, 2005
First Named Inventor	Zhi-Cheng Xiao
Art Unit	1653
Examiner Name	Not Yet Assigned
Attorney Docket Number	0380-P03063US1

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
	B1	WO 97/02344	01-23-1997	Institut National De La		
	B2	WO 01/51520 A2	07-19-2001	Yale University		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (07-05)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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Examiner Name	Not Yet Assigned
Attorney Docket Number	0380-P03063US1

Sheet	2	of	2
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	SIVAKUMARAN, T.A. "Global Sequence Diversity of RB1 Gene"; EMBL Database Accession No. Q9BDQ2; XP-002279773 (2001)	
	C2	GRANDPRE, T. "Nogo-66 receptor antagonist peptide promotes axonal regeneration"; Nature, 417: 547-551 (2002)	
	C3	FIEDLER, M. "An engineered IN-1 Fab fragment with improved affinity for the Nogo-A axonal growth inhibitor..."; Protein Engineering, 15(11): 931-941 (2002)	
	C4	KARIM, F. "Improving axonal growth and functional recovery after experimental spinal cord injury by neutralizing..."; Brain Research Reviews, 36: 204-212 (2001)	
	C5	HUANG, D.W. "A Therapeutic Vaccine Approach to Stimulate Axon Regeneration in the Adult Mammalian Spinal Cord"; Neuron, 24: 639-647 (1999)	

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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